



# Antimicrobial Stewardship and Resistance

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# Disclosures of Conflict of Interest

Beverly Burt, RN, BSN, CIC has nothing to disclose.



# Objectives



Explain how antibiotic resistance occurs



Name four antibiotic resistance threats in the United States



Discuss the core elements of an Antibiotic Stewardship Program



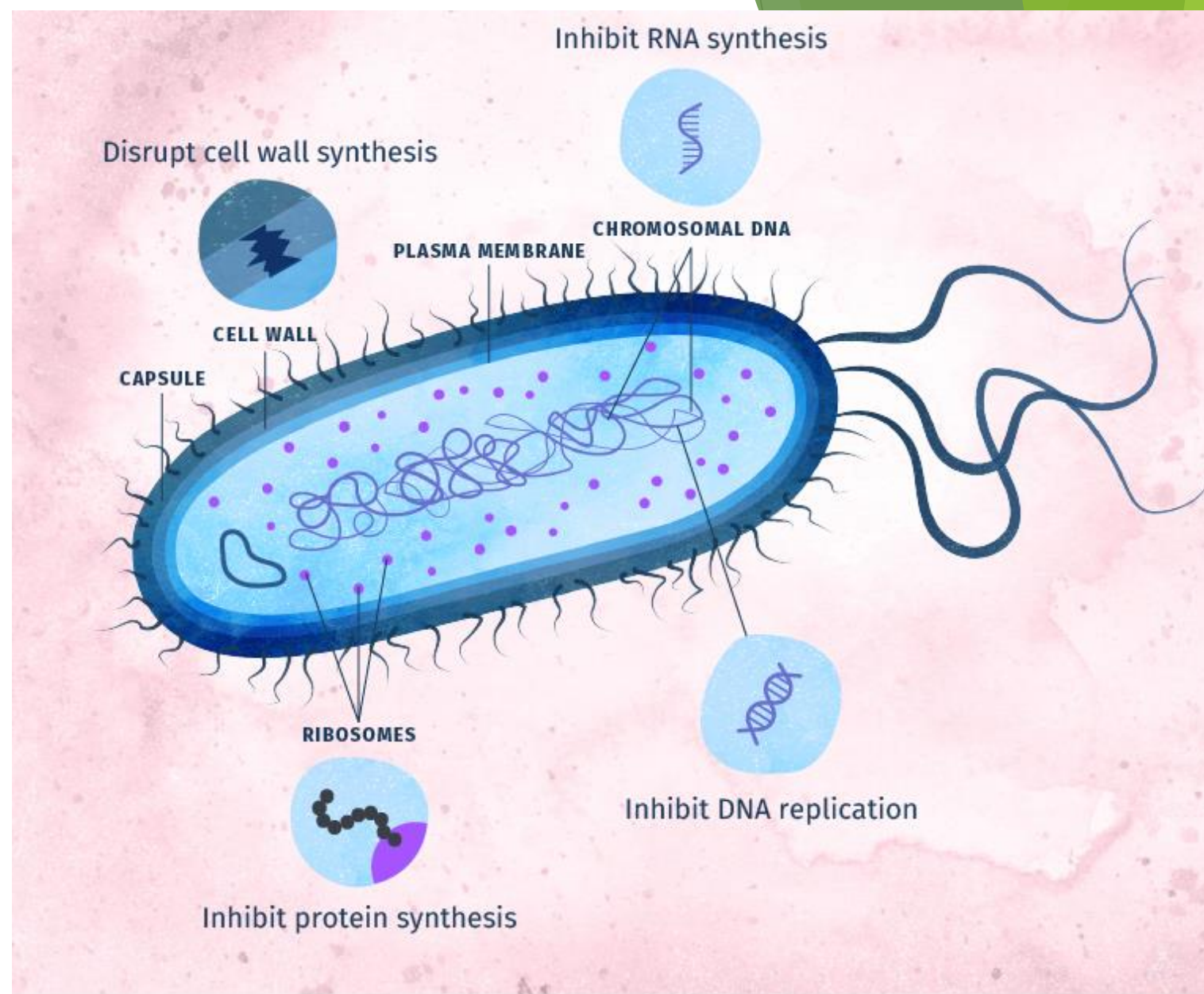
How does  
Antimicrobial  
Resistance Occur?

# Antibiotic Resistance

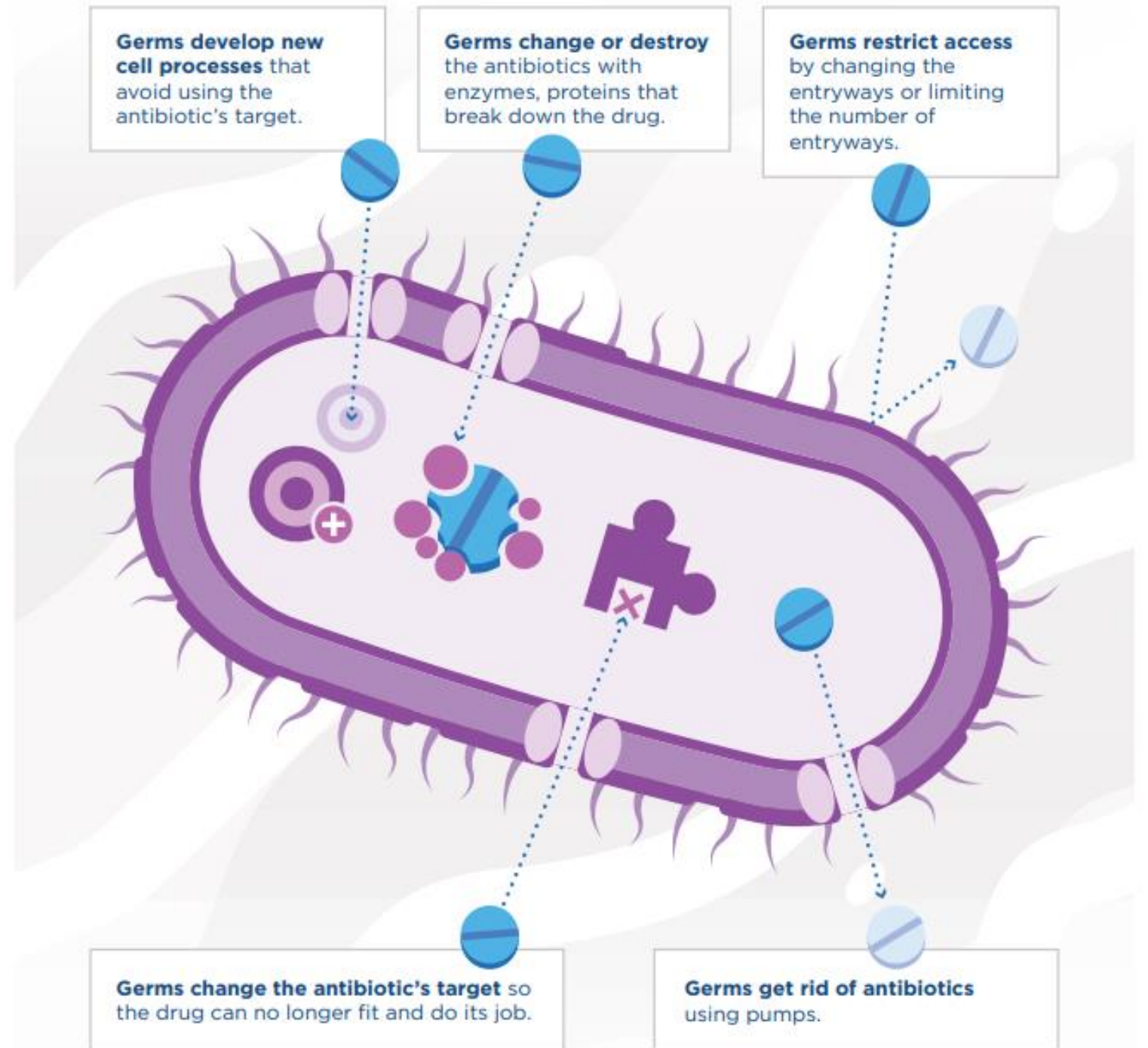
- Antibiotic resistance occurs when germs like bacteria and fungi develop the ability to defeat the drugs designed to kill them.
- Antibiotics and antifungals
  - Pressure bacteria and fungi to adapt
  - May also kill helpful germs that protect our body from infection
- The antimicrobial-resistant germs
  - Survive and multiply
  - Have resistance traits in their DNA that can spread to other germs.



# Ways Antibiotics Affect Bacterial Cells



# How Bacteria and fungi Fight Back Against Antibiotics



# Examples of How Antibiotic Resistance Affects Humans, Animals and the Environment

## People

Some types of antibiotic-resistant germs can spread person to person. "Nightmare bacteria" carbapenem-resistant Enterobacteriaceae (CRE) can also survive and grow in sink drains at healthcare facilities and spread to patients and to the environment through the wastewater.



## Animals

Resistant germs can spread between animals and people through food or contact with animals. For example, *Salmonella* Heidelberg bacteria can make both cattle and people sick.



## Environment

Antibiotic-resistant germs can spread in the environment. *Aspergillus fumigatus*, a common mold, can make people with weak immune systems sick. In 2018, resistant *A. fumigatus* was reported in three patients. It was also found in U.S. crop fields treated with fungicides that are similar to antifungals used in human medicine.





# Antibiotic Resistance Threats in the United States

First Published  
in 2013

[Antibiotic Resistance Threats in the United States, 2019 \(cdc.gov\)](https://www.cdc.gov/antibiotic-resistance-threats/)



# Background

- Greater than 2.8 million antimicrobial-resistant infections in the US each year
  - >35,000 people die as a result
- 223,900 cases of *Clostridioides difficile* occurred in the US in 2017
  - 12,800 people died as a result
- ↑ 315% Erythromycin-resistant invasive group A Strep
- ↑ 124% Drug-resistant *Neisseria gonorrhoeae*
- ↑ 50% ESBL-producing Enterobacteriaceae

# CDC's 2019 AR Threats Report: **PREVENTION WORKS.**

**↓ 18%** fewer deaths from antibiotic resistance overall since 2013 report

**↓ 28%** fewer deaths from antibiotic resistance in hospitals since 2013 report

## AND DECREASES IN INFECTIONS CAUSED BY:

**↓ 41%** Vancomycin-resistant *Enterococcus*

**↓ 33%** Carbapenem-resistant *Acinetobacter*

**↓ 29%** Multidrug-resistant *Pseudomonas aeruginosa*

**↓ 25%** Drug-resistant *Candida*

**↓ 21%** Methicillin-resistant *Staphylococcus aureus* (MRSA)

**STABLE** Carbapenem-resistant Enterobacteriaceae (CRE) & drug-resistant tuberculosis (TB disease cases)

# CDC Strategies That Work In Healthcare

Preventing device- and procedure-related infections, such as from urinary catheters or central lines

Stopping the spread of resistant germs within and between healthcare facilities

Containing emerging threats through early detection and aggressive response

Tracking and improving appropriate antibiotic use

Infection prevention and control in non-hospital settings, such as long-term care facilities



# CDC Strategies That Work In Communities

Widespread use of vaccines to prevent infections and spread

Routine tuberculosis and gonorrhea screening for at-risk groups and prompt treatment

Using safer sex practices

Safe food handling and preparation

Improving antibiotic use everywhere

# CDC's 2019 AR Threat Report Listed Three Categories of Threats

- Urgent
- Serious
- Concerning

- Threats assessed according to seven factors:
  - Clinical impact
  - Economic impact
  - Incidence
  - 10-year projection of incidence
  - Transmissibility
  - Availability of effective antibiotics
  - Barriers to prevention

18 Pathogens

# Urgent Threats



CARBAPENEM-RESISTANT  
***ACINETOBACTER***



***CANDIDA AURIS***



***CLOSTRIDIoidES DIFFICILE***



CARBAPENEM-RESISTANT  
***ENTEROBACTERIACEAE***



DRUG-RESISTANT  
***NEISSERIA GONORRHOEAE***

# Serious Threats



DRUG-RESISTANT  
**CAMPYLOBACTER**



DRUG-RESISTANT  
**CANDIDA**



ESBL-PRODUCING  
**ENTEROBACTERIACEAE**



VANCOMYCIN-RESISTANT  
**ENTEROCOCCI**



MULTIDRUG-RESISTANT  
**PSEUDOMONAS AERUGINOSA**



DRUG-RESISTANT  
**NONTYPHOIDAL SALMONELLA**



## Serious Threats (Cont.)



DRUG-RESISTANT  
***SALMONELLA* SEROTYPE TYPHI**



DRUG-RESISTANT  
***SHIGELLA***



METHICILLIN-RESISTANT  
***STAPHYLOCOCCUS AUREUS***



DRUG-RESISTANT  
***STREPTOCOCCUS PNEUMONIAE***



DRUG-RESISTANT  
**TUBERCULOSIS**

# Concerning Threats



ERYTHROMYCIN-RESISTANT  
**GROUP A *STREPTOCOCCUS***



CLINDAMYCIN-RESISTANT  
**GROUP B *STREPTOCOCCUS***



AZOLE-RESISTANT  
***ASPERGILLUS FUMIGATUS***



DRUG-RESISTANT  
***MYCOPLASMA GENITALIUM***



DRUG-RESISTANT  
***BORDETELLA PERTUSSIS***

# Watch List

# CDC Proposed Five Core Actions

## Infection prevention & control

- Preventing infections

## Tracking and data:

- Share data and improve data collection

## Antibiotic use and access:

- Improve appropriate use of antibiotics, reduce unnecessary use, and ensure improved access

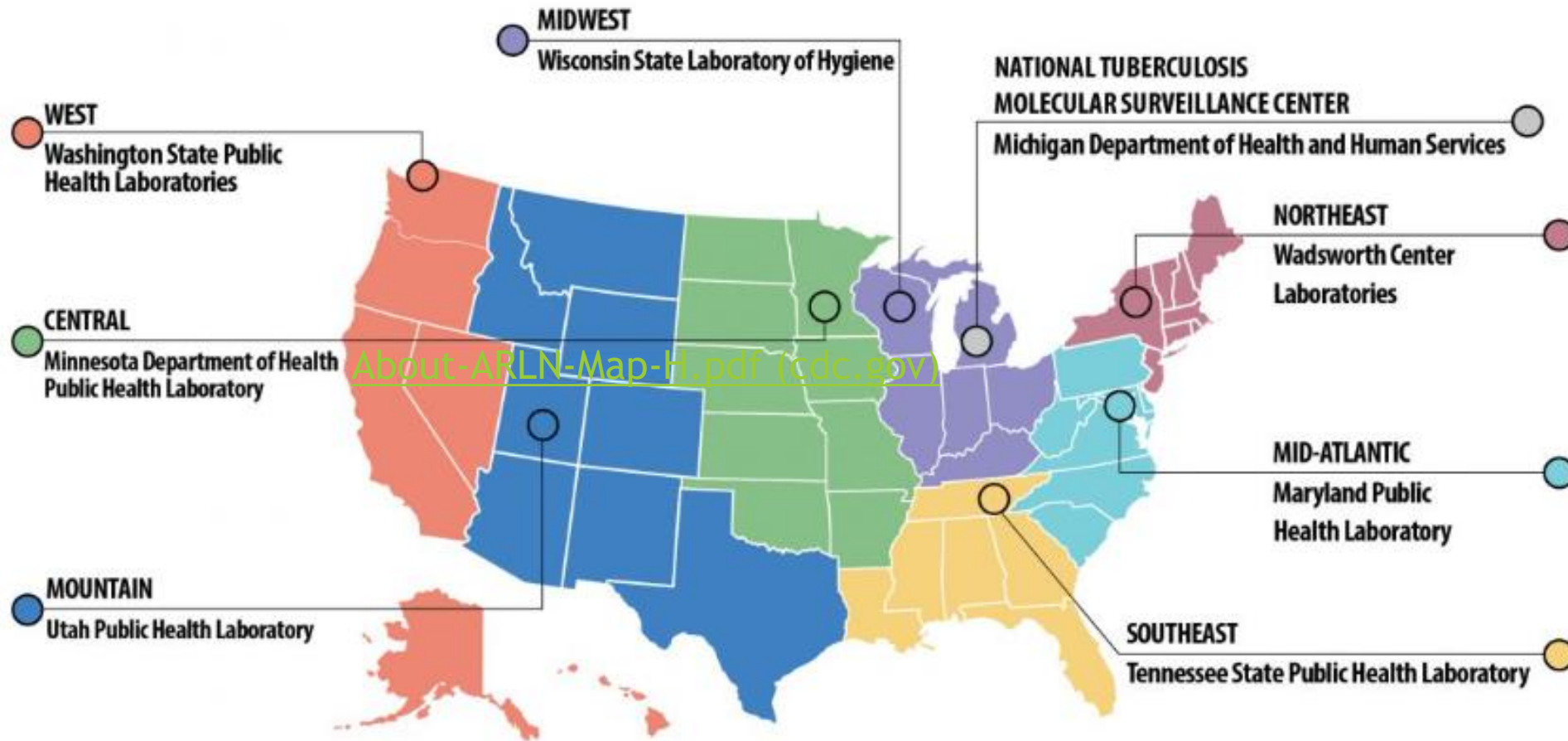
## Vaccines, therapeutics, and diagnostics:

- Invest in development and improved access to vaccines, therapeutics, and diagnostics

## Environment and sanitation:

- Keep antibiotics and antibiotic-resistant threats from entering the environment: sanitation & safe water

# CDC's Antibiotic Resistance (AR) Lab Networks



[About the AR Lab Network | CDC](#)







# Antibiotic Stewardship (AS) Programs



The Core Elements of  
**Hospital Antibiotic Stewardship  
Programs: 2019**

# CDC Antibiotic Stewardship Resources



The Core Elements of  
**Hospital Antibiotic Stewardship Programs**  
ANTIBIOTIC STEWARDSHIP PROGRAM ASSESSMENT TOOL



Implementation of  
**Antibiotic Stewardship Core Elements  
at Small and Critical Access Hospitals**



Centers for Disease  
Control and Prevention  
National Center for Emerging and  
Zoonotic Infectious Diseases  
Division of Healthcare Quality Promotion



Centers for Disease  
Control and Prevention  
National Center for Emerging and  
Zoonotic Infectious Diseases  
Division of Healthcare Quality Promotion



Centers for Disease  
Control and Prevention  
National Center for Emerging and  
Zoonotic Infectious Diseases

**First Published  
in 2014**

The Core Elements  
of Hospital  
Antibiotic  
Stewardship  
Programs (cdc.gov)

**Core Elements of Hospital Antibiotic Stewardship Programs**



**Hospital Leadership Commitment**

Dedicate necessary human, financial, and information technology resources.



**Accountability**

Appoint a leader or co-leaders, such as a physician and pharmacist, responsible for program management and outcomes.



**Pharmacy Expertise (previously "Drug Expertise"):**

Appoint a pharmacist, ideally as the co-leader of the stewardship program, to help lead implementation efforts to improve antibiotic use.



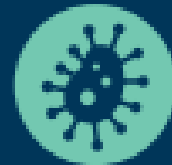
**Action**

Implement interventions, such as prospective audit and feedback or preauthorization, to improve antibiotic use.



**Tracking**

Monitor antibiotic prescribing, impact of interventions, and other important outcomes, like *C. difficile* infections and resistance patterns.



**Reporting**

Regularly report information on antibiotic use and resistance to prescribers, pharmacists, nurses, and hospital leadership.



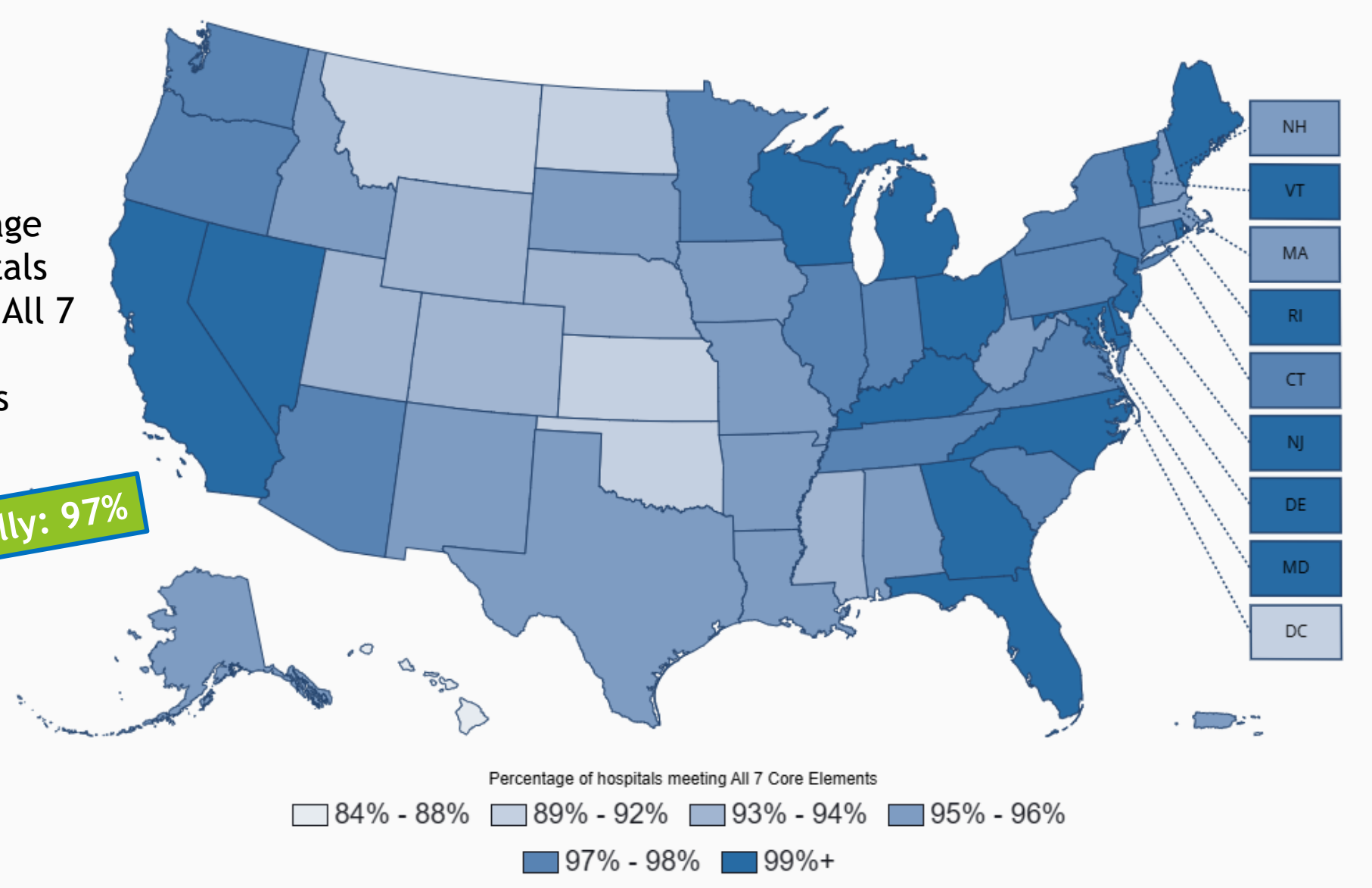
**Education**

Educate prescribers, pharmacists, nurses, and patients about adverse reactions from antibiotics, antibiotic resistance, and optimal prescribing.

# Hospitals Antibiotic Stewardship by State -2022

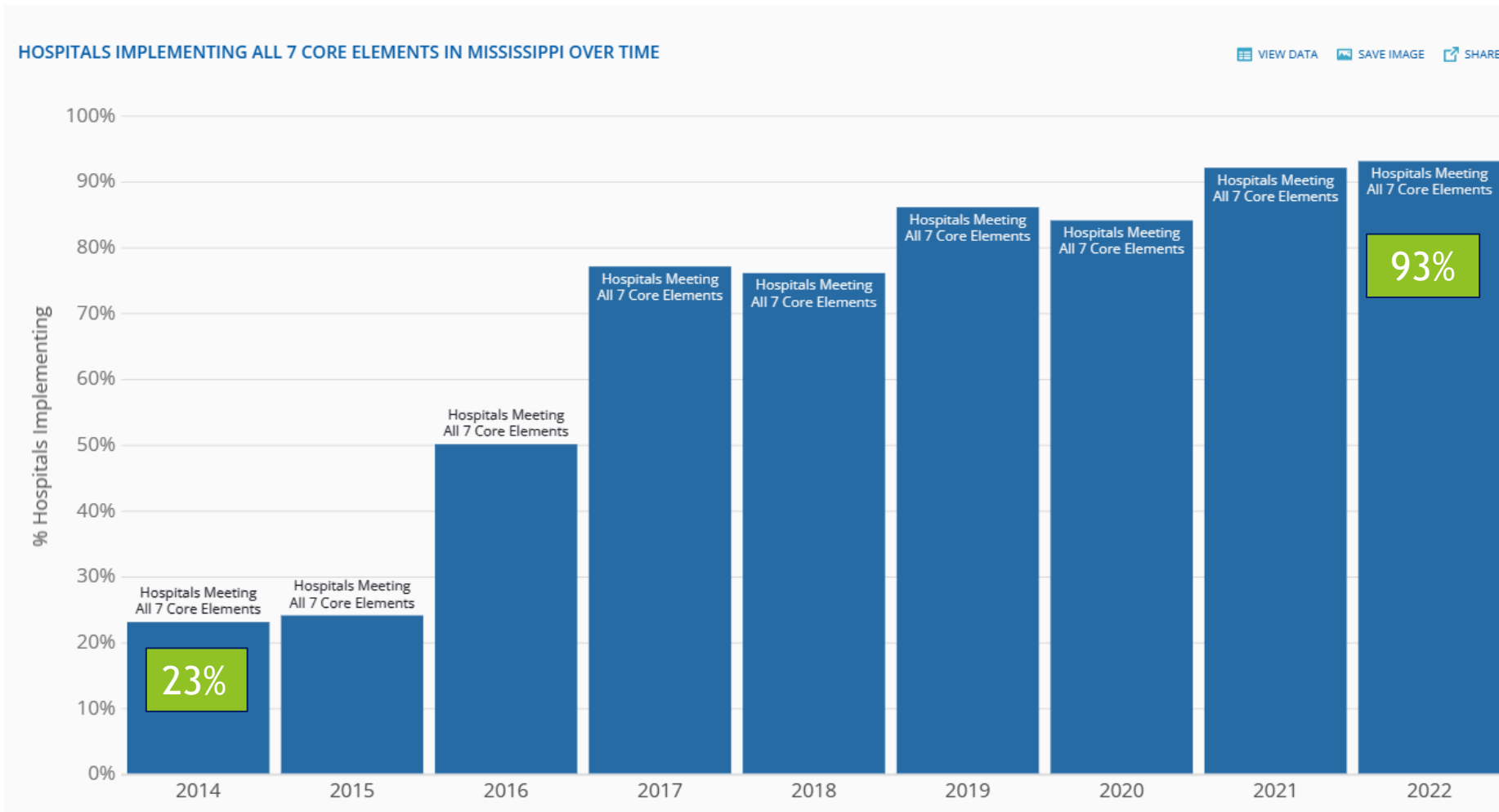
Percentage of hospitals meeting All 7 Core Elements

**Nationally: 97%**





# Percentage of Hospitals Implementing All 7 Core Elements Over Time In Mississippi





## 2023 Patient Safety Annual Hospital Survey Form (cdc.gov)

Antibiotic Stewardship  
Questions on pages 13-19

### Neonatal or Newborn Patient Care Practices and Admissions (continued)

- d. My facility requires that babies receiving oral **and/or** intramuscular antimicrobials are transferred out of their mother's room in order for antimicrobials to be administered
- e. N/A my facility does not provide delivery services

- 41a. If answer choice c. or d. was selected above, to which neonatal unit would a baby be transferred in order to receive oral or parenteral antimicrobials (select all that apply):
- Level I Well Newborn Nursery separate from the mother's room
  - Level II Special Care Nursery
  - Level II/III or higher Neonatal Intensive Care Unit

### Antibiotic Stewardship Practices (completed with input from Physician and Pharmacist Stewardship Leaders)

\*42. Did the antibiotic stewardship leader(s) participate in responding to these questions? (Check one.)

- Yes, pharmacist lead
- Yes, physician lead
- Yes, both pharmacist and physician leads
- Yes, other lead
- No

\*43. Facility leadership has demonstrated commitment to antibiotic stewardship efforts by: (Check all that apply.)

- Providing stewardship program leader(s) dedicated time to manage the program and conduct daily stewardship interventions.
- Allocating resources (for example, IT support, training for stewardship team) to support antibiotic stewardship efforts.
- Having a senior executive that serves as a point of contact or "champion" to help ensure the program has resources and support to accomplish its mission.
- Presenting information on stewardship activities and outcomes to facility leadership and/or board at least annually.
- Ensuring the stewardship program has an opportunity to discuss resource needs with facility leadership and/or board at least annually.
- Communicating to staff about stewardship activities, via email, newsletters, events, or other avenues.
- Providing opportunities for hospital staff training and development on antibiotic stewardship.
- Providing a formal statement of support for antibiotic stewardship (for example, a written policy or statement approved by the board).
- Ensuring that staff from key support departments and groups (for example, IT and hospital medicine) are contributing to stewardship activities.
- None of the above

\*44. Our facility has a leader or co-leaders responsible for antibiotic stewardship program management and outcomes.  Yes  No

44a. If Yes, what is the position of this leader? (Check one.)



# COVID-19 Impacts on Antimicrobial Resistance



**Tracking Data:** Detection & Reporting of AR data slowed because of changes in patient care, testing, treatment, and capacity of HCFs



**Preventing Infections:** pandemic related challenges hindered many infection prevention practices (e.g., challenges with hand hygiene, PPE, etc.)



**Antimicrobial Use and Access:** Antibiotics were commonly prescribed to patients with COVID-19



**Environment & Sanitation:** Wastewater surveillance used to improve detection and response for AR.



**Vaccines, Therapeutics & Diagnosis:** Focused on need to prevent infections, the need for more prevention products, and not just antimicrobials and vaccines.



# Implementation Strategies for Small and Critical Access Hospitals for Antibiotic Stewardship

► [Antibiotic Stewardship Core Elements \(cdc.gov\)](https://www.cdc.gov/antibiotic-use/core-elements/)

# Leadership Commitment & Accountability

- Dedicate necessary human, financial & information technology resources
- Appoint a leader or co-leaders, such as a physician and pharmacist, responsible for program management and outcomes.
  - Examples of Strategies:
    - Designate a physician leader
    - Antibiotic Stewardship Policy & Multi-disciplinary Team
    - Integrate AS activities into ongoing quality improvement
    - Reporting structure for ASP with facility leadership up to the board.
    - Statement on importance of the ASP approved by the Board.
    - Statement from hospital leadership to all providers and patients on hospital's commitment to improving antibiotic use
    - Support training for hospital stewardship leaders on AS



# Pharmacy Expertise

- Appoint a pharmacist, ideally as the co-leader of the stewardship program, to lead implementation efforts to improve antibiotic use.
  - Examples of Strategies:
    - Appoint a pharmacist leader (include AS in job description or service contract)
    - Appoint a physician leader to support AS program
    - Offer training courses on AS to develop their expertise
    - Seek additional help by joining multi-hospital collaborates or through remote consults

# Action

- Implement interventions, such as prospective audit and feedback or preauthorization, to improve antibiotic use.

## Examples of Key Opportunities to Improve Antibiotic Use

CAP	Review cases at 48 hours to determine pneumonia diagnosis vs. non-infectious
	Avoid empiric use of antipseudomonal beta-lactams and/or methicillin-resistant Staphylococcus aureus (MRSA) agents unless clinically indicated.
	Assess duration of therapy (usually treated for 5-7 days)
UTI	Implement criteria for ordering urine cultures
	Establish criteria to distinguish asymptomatic vs. symptomatic bacteriuria
	Use shortest duration of antibiotics as clinically appropriate
SSTI	Develop diagnostic criteria to distinguish purulent & non-purulent infections/severity of illness
	Avoid empiric use of antipseudomonal beta-lactams and/or anti-anaerobic agents unless clinically indicated.
	Guidelines suggest most uncomplicated bacterial cellulitis may be treated for 5 days.

# Action (Cont.)

## Examples of Other Strategies

Pharmacist	Review antibiotics for unnecessary duplicative antibiotic therapy.
	Review for opportunities for intravenous to oral conversion
	Monitor for medication safety (e.g., renal dose adjustments)
Nurses	Review culture techniques to ensure cultures are collected properly
	Review culture results with treating clinician and pharmacist
	Monitor response to antibiotic therapy w/feedback to clinician and pharmacist
	Assess oral intake and clinical status to alert providers and pharmacist for opportunities to convert from IV antibiotics to PO.
	Educate patients about potential adverse events associated with antibiotics, especially C. difficile infection.
	Initiate “antibiotic time-outs” with the treating clinician and pharmacist and review antibiotic therapy after 48 hours of treatment.

# Tracking

- Monitor antibiotic prescribing, impact of interventions, and other important outcomes like C. difficile infection and resistance patterns.
  - Examples of Strategies:
    - Submit antibiotic use data to the National Healthcare Safety Network (NHSN) Antimicrobial Use (AU) Option for monitoring/benchmarking inpatient AU
    - Monitor adherence to facility-specific treatment for CAP, UTI and SSTI
    - Monitor the performance of antibiotic time-outs
    - Perform a medication use evaluation to assess courses of therapy for selected antibiotics
    - Monitor how often patients are converted from intravenous to oral therapy.
    - Assess how often patients are prescribed unnecessary duplicate therapy

# Reporting

- Regularly report information on antibiotic use and resistance to prescribers, pharmacists, nurses, and hospital leadership.
  - Examples of Strategies:
    - Prepare regular reports on the measures being tracked related to antibiotic use and provide to key stakeholders (e.g., pharmacy, patient safety, medical staff, committees, board.)
    - Share provider-specific reports with individual clinicians confidentially.
    - Distribute data and key messaging through staff newsletters and emails.



# Education

- Educate prescribers, pharmacists, and nurses about adverse reactions from antibiotics, antibiotic resistance and optimal prescribing.
- Examples of Strategies:
  - Integrate regular updates on AS & R into employee newsletters, intranet, website, etc.
  - Provide educational presentations to key providers, pharmacy and nursing at least annually (e.g., staff meetings)
  - One-on-one education/coaching
  - AS education in orientation & annually for medical staff, pharmacist & nursing.
  - Incorporate AS education into credentialing
  - Patient/family education (e.g., fact sheet on AU for patients)



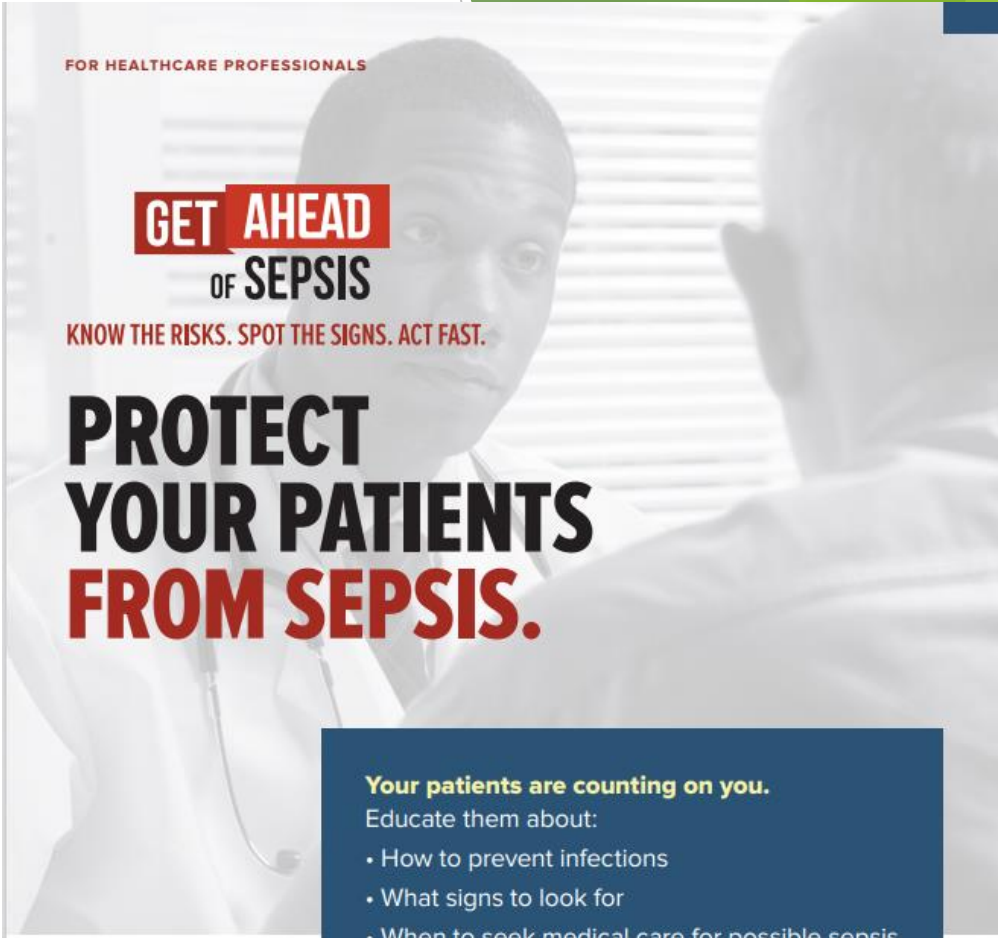
All Healthcare Professionals can *Be Antibiotics Aware*



For more information, visit [www.cdc.gov/antibiotic-use](http://www.cdc.gov/antibiotic-use).



CS335343-A



FOR HEALTHCARE PROFESSIONALS

**GET AHEAD OF SEPSIS**

KNOW THE RISKS. SPOT THE SIGNS. ACT FAST.

**PROTECT YOUR PATIENTS FROM SEPSIS.**

**Your patients are counting on you.**  
Educate them about:

- How to prevent infections
- What signs to look for
- When to seek medical care for possible sepsis

To learn more about sepsis and how to prevent infections, visit [www.cdc.gov/sepsis](http://www.cdc.gov/sepsis).



PubNo 300-024

To access content, you first need to [create an account](#). If you already have an account, [please login](#).

ID 3697

Welcome to CDC's Antibiotic Stewardship Training Plan. Modules can be taken in any order. Each module can be taken outside of the training plan by going to TRAIN's search page and entering the key words "Antibiotic Stewardship Course". Select the courses you would like to take and add them to Your Learning.

This interactive web-based activity designed to help clinicians optimize antibiotic use to combat antibiotic resistance and improve healthcare quality and patient safety.

[Show More](#)

Components

Name	Hours
<b>Training Plan Content</b> <span>Courses marked with asterisk are required</span>	
<a href="#">Antibiotic Stewardship Course: Module 1: Be Antibiotics Aware: Antibiotic Resistance</a> <small>Expiration Date Feb 17, 2024 10:59 PM CST</small>	0.48h
<a href="#">Antibiotic Stewardship Course: Module 2: Be Antibiotics Aware: Antibiotic Adverse Events</a> <small>Expiration Date Feb 17, 2024 10:59 PM CST</small>	0.33h
<a href="#">Antibiotic Stewardship Course: Module 3: It's About Patient Safety: Antibiotic Stewardship</a> <small>Expiration Date Feb 17, 2024 10:59 PM CST</small>	0.39h
<a href="#">Antibiotic Stewardship Course: Module 4: Outpatient Antibiotic Use Across the United States: Understanding Trends and Inappropriate Antibiotic Use</a> <small>Expiration Date Aug 19, 2024 10:59 PM CDT</small>	1h
<a href="#">Antibiotic Stewardship Course: Module 5: Core Elements of Outpatient Antibiotic Stewardship: Implementing Antibiotic Stewardship in Your Outpatient Practice</a> <small>Expiration Date Aug 19, 2024 10:59 PM CDT</small>	1.05h
<a href="#">Antibiotic Stewardship Course: Module 6: Communication Training: A Key to Improving Outpatient Antibiotic Prescribing and Use</a> <small>Expiration Date Aug 19, 2024 12:59 PM CDT</small>	0.5h
<a href="#">Antibiotic Stewardship Course: Module 7: Antibiotic Stewardship in Hospitals (Web-Based) - WB4643</a> <small>Expiration Date Feb 9, 2025 10:59 PM CST</small>	1h
<a href="#">Antibiotic Stewardship Course: Module 8: Antibiotic Stewardship in Long Term Care Facilities (Web-Based) - WB4644</a> <small>Expiration Date Feb 9, 2025 10:59 PM CST</small>	0.5h
<a href="#">Antibiotic Stewardship Course: Module 9: Antibiotic Stewardship Considerations in Dentistry (Web-Based) - WB4645</a> <small>Expiration Date Feb 9, 2025 10:59 PM CST</small>	0.43h

CDC's Antibiotic Stewardship Course - CDC TRAIN - an affiliate of the TRAIN Learning Network powered by the Public Health Foundation

# CMS Infection Prevention and Control and Antibiotic Stewardship Program Interpretive Guidance Update

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard, Mail Stop C2-21-16  
Baltimore, Maryland 21244-1850



## Center for Clinical Standards and Quality/Quality, Safety & Oversight Group

Ref: QSO-22-20-Hospitals

**DATE:** July 6, 2022  
**TO:** State Survey Agency Directors  
**FROM:** Director, Quality, Safety & Oversight Group (QSOG)  
**SUBJECT:** Infection Prevention and Control and Antibiotic Stewardship Program Interpretive Guidance Update

### Memorandum Summary

- **Updates to interpretive guidance for hospital requirements**– CMS published the final rule Medicare and Medicaid Programs; Regulatory Provisions to Promote Program Efficiency, Transparency, and Burden Reduction Final Rule which revised the regulatory requirements for hospitals related to infection prevention and control and antibiotic stewardship programs. We made conforming revisions to the interpretive guidelines.

### Background:

On September 30, 2019 The Centers for Medicare & Medicaid Services (CMS) published the final rule [\*Medicare and Medicaid Programs; Regulatory Provisions to Promote Program Efficiency, Transparency, and Burden Reduction Final Rule\*](#), which included revisions for the hospital Conditions of Participation (CoP) for 42 CFR §482.42 Infection preventions and control and antibiotic stewardship programs.

[QSO-22-20-Hospitals \(cms.gov\)](https://www.cms.gov)



## New and Revised Antibiotic Stewardship Requirements Hospital (HAP) Accreditation Program

### Medication Management (MM) Chapter

#### MM.09.01.01

**Current Requirement Text:**

**Revision Type:** Revised

The hospital has an antimicrobial stewardship program based on current scientific literature.

#### MM.09.01.01

**New Requirement Text:**

The hospital establishes antibiotic stewardship as an organizational priority through support of its antibiotic stewardship program.

#### MM.09.01.01

**EP: 1**

**Current EP Text:**

**Revision Type:** Moved and Revised

Leaders establish antimicrobial stewardship as an organizational priority.  
Note: Examples of leadership commitment to an antimicrobial stewardship program are as follows:

- Accountability documents
- Budget plans
- Infection prevention plans
- Performance improvement plans
- Strategic plans
- Using the electronic health record to collect antimicrobial stewardship data

(See also LD.01.03.01, EP 5)

#### MM.09.01.01

**EP: 10**

**New EP Text:**

The hospital allocates financial resources for staffing and information technology to support the antibiotic stewardship program.  
(See also LD.01.03.01, EP 5)

#### MM.09.01.01

**EP: 2**

**Current EP Text:**

**Revision Type:** Deleted

The hospital educates staff and licensed independent practitioners involved in antimicrobial ordering, dispensing, administration, and monitoring about antimicrobial resistance and antimicrobial stewardship practices. Education occurs upon hire or granting of initial privileges and periodically thereafter, based on organizational need.

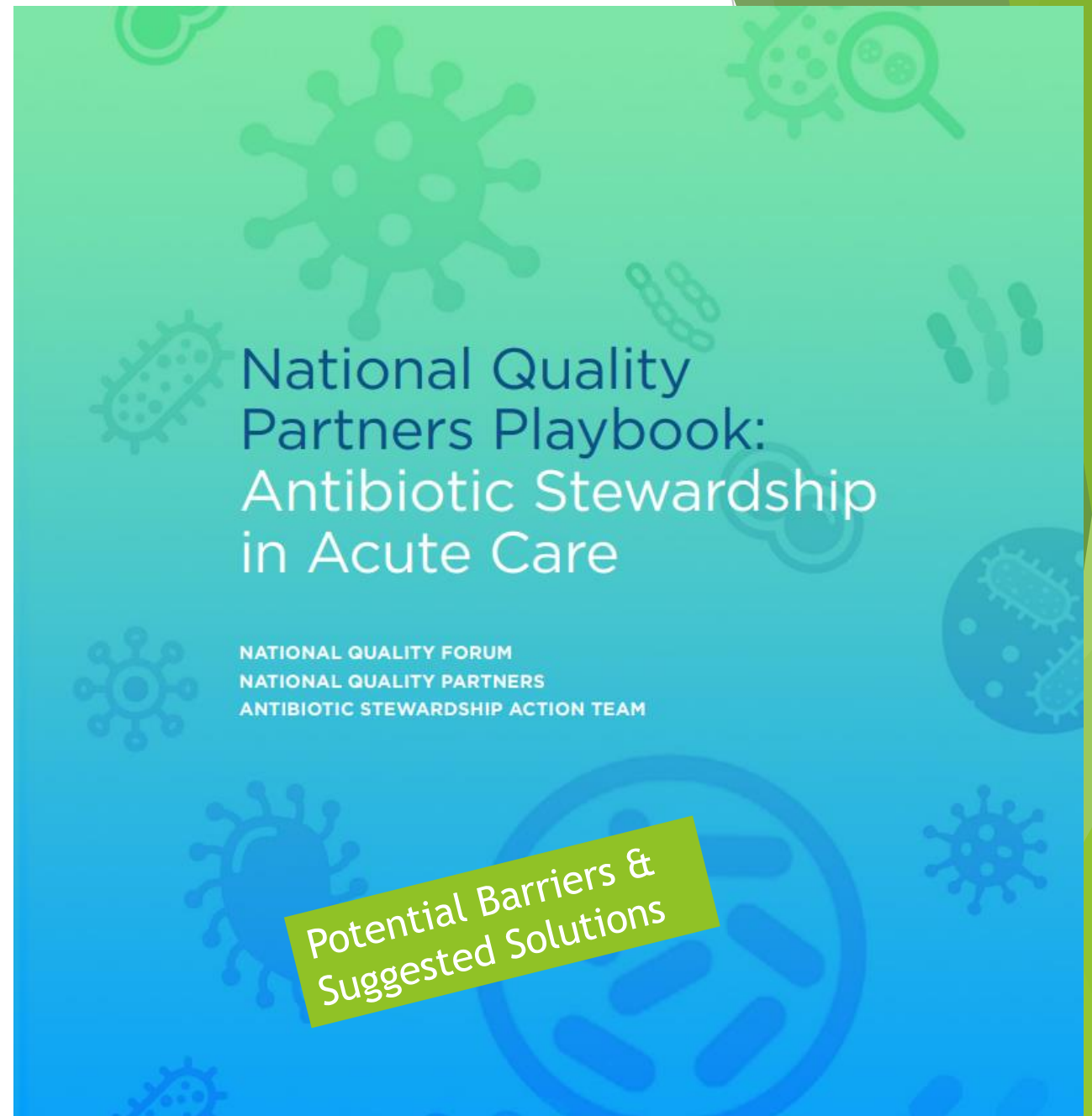
# The Joint Commission New and Revised Antibiotic Stewardship Requirements for Hospitals

Effective 1/1/2023



# Antibiotic Stewardship Resource

[http://www.qualityforum.org/NQP/Antibiotic\\_Stewardship\\_Playbook.aspx](http://www.qualityforum.org/NQP/Antibiotic_Stewardship_Playbook.aspx)



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- [cdc.gov/infectioncontrol/webinars/webinar-enhancingaspincanh-lowres.mp4](#)
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- [Management of Multidrug-Resistant Organisms In Healthcare Settings, 2006 \(cdc.gov\)](#)
- [survey-and-cert-letter-15-12-attachment-1.pdf \(cms.gov\)](#)
- [Pilot Study Nursing Home Infection Control Worksheet \(cms.gov\)](#)
- [Antibiotic Stewardship Core Elements \(cdc.gov\)](#)
- [Building Public Health Capacity for Antimicrobial Resistance \(cdc.gov\)](#)
- [Antibiotic Stewardship - Understanding the Updated Requirements - Effective January 1, 2023 | Hospital and Hospital Clinics | Medication Management MM | The Joint Commission](#)

# Questions

